

06/20/03
18351 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. 2702P
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17437 U.S. PTO
10/600137
06/20/03

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is a Patent Application claiming under 35 USC 119(e) the benefit of provisional patent application serial no. 60/389,956 filed June 20, 2002, in the name of **Ka Shun Kevin FUNG**, entitled **Method and System for Improving the Liquidity of Transactions for PM Pools and Auctions**, for a(n):

- (X) Original Patent Application.
- () Continuing Application (prior application not abandoned):
 - () Continuation
 - () Divisional
 - () Continuation-in-part (CIP)
- of prior application No: _____ Filed on: _____

Enclosed are:

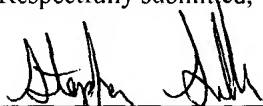
- (X) Specification: 75 Total Pages.
- (X) Drawing(s): 18 Total Sheets.
- (X) Combined Declaration and Power of Attorney:
 - (X) Newly Executed (original or copy)
 - () Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
- () Assignment Papers (cover sheet and document(s)).
- () Nonpublication Request under 35 USC 122(b)(2)(B)(i).
- (X) Information Disclosure Statement and Form PTO-1449. (X) Copies of (6) six IDS Citations.
- (X) Return Receipt Postcard.
- () Other:

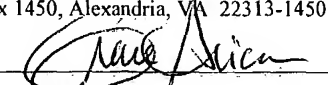
CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	57	37	\$9.00	\$ 333.00
Independent Claims	6	3	\$42.00	\$ 126.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$375.00
Total Filing Fee				\$ 834.00

Payment of Fees:

- Check no. 5842 in the amount of \$ 834.00 is enclosed for payment of Filing Fees(s).
- Charge \$ _____ to Deposit Account _____ (Acct Holder Name) for payment of Filing Fee(s).
- The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

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Respectfully submitted,

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EXPRESS MAIL CERTIFICATE	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
By: 	Express Mail Label No: EL547861165US
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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

06/24/2003 HTECKLU1 00000021 10600137

01 FC:2001	375.00 OP
02 FC:2202	333.00 OP
03 FC:2201	126.00 OP